



## Custodial Supplement

Please complete this supplement for either a Uniform Gift to Minors Act (UGMA) account or a Uniform Transfer to Minors Act (UTMA) account.

**Custodian:**

\_\_\_\_\_  
Name Social Security Number Date of Birth

**Minor:**

\_\_\_\_\_  
Name Social Security Number Date of Birth

Each account may have only one custodian for one minor. The minor is owner of the account and should be indicated as such on the new account form. The assets placed in the account are considered an irrevocable gift and are immediately the property of the minor. The minor is entitled to control the account and take the assets upon reaching the age of majority in the state of his/her residence, at which point the account transfers into the minor's name. Individual states have different ages of majority ranging from 18 to 21 years old.

By opening this type of account, you agree that all assets belong to the minor and that you will only use them for the minor's benefit, even if the assets are removed from the account. Please note that custodial accounts are not permitted to trade on margin.

If you would like to designate an optional successor custodian to act on behalf of this account in the event of your incapacity, death, resignation or removal as custodian, please provide the following information:

**Successor Custodian:**

\_\_\_\_\_  
Name Social Security Number Date of Birth

\_\_\_\_\_  
Street Address City State Zip

\_\_\_\_\_  
Phone Number

Please note: if a successor custodian is utilized for any reason, the registration on this account would need to be updated.

**Please Sign and Date Below**

**X** \_\_\_\_\_  
Signature of Custodian Print Name of Custodian Date

**Broker/Dealer use only:** \_\_\_\_\_  
Account Number